FORM PTO-1390 (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 128977 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New AS Netional Phase of PCT/JP2005/001990

| INTERNATIONAL APPLICATION N | O |
|-----------------------------|---|
| PCT/JP2005/001990 | |

| PCT/JP2005/001990 | | | February 3, 2005 | February 4, 2004 | | | | | |
|---|-------------|---|------------------------------------|---|--|--|--|--|--|
| TITLE OF INVENTION EXPOSURE APPARATUS, EXPOSURE METHOD, AND METHOD FOR PRODUCING DEVICE | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Naoyuki KOBAYASHI; Soichi OWA; Shigeru HIRUKAWA; Yasuhiro OMURA | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. 🛮 has been communicated by | the International Bureau. | | | | | | |
| | | c. \square is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | a. 🛛 is attached hereto. | | | | | | | |
| | | b. has been previously submit | ted under 35 U.S.C. 154(d)(4). | | | | | | |
| | | c. The International Application | n was filed in English. | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| | | d. have not been made and wi | il not be made. | | | | | | |
| 8. | | An English language translation of | the amendments to the claims und | er PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Items | s 11 t | to 20 below concern document(s) | or information included: | | | | | | |
| 11. | \boxtimes | An Information Disclosure Stateme | ent under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. | | An assignment document for recor | ding. A separate cover sheet in co | mpliance with 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. | | A substitute specification. | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. | \boxtimes | Other items or information: Interna- | tional Search Report. | | | | | | |
| | | | | | | | | | |

LAP20 Rec'd PCT/PTO 02 AUG 2006

| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) New U.S. National Phase of 58829 PCT/JP2005/001990 PCT/JP2005/001990 | | | | ATTORNEY'S DOCKET NUMBER 128977 | | | | | |
|---|--|---------------------------------------|-------------------------|---------------------------------|---------------------------------------|--|--|--|--|
| 21. The following fees | are submitted: | CALCULATIONS | PTO USE ONLY | | | | | | |
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| | | | | | | | | | |
| BASIC NATIONAL FEE (37 | | | \$ 300.00 | \$300.00 | | | | | |
| SEARCH FEE (37 CFR 1.49 | ∌2(b)(1)-(3)): | | | \$400.00 | | | | | |
| International preliminary exa | amination report or w | ritten oninion preparer | d by | | | | | | |
| the USPTO as IPEA or ISA | and favorable as to | novelty, inventive step | o, and | | | | | | |
| industrial applicability for all | claims presented in | the application enterin | ng the | | - | | | | |
| national phase | •••••••• | | \$ 0.00 | | | | | | |
| International search fee (37 | CFR 1.445(a)(2)) pa | aid to USPTO as ISA | \$ 100.00 | | | | | | |
| · · | | | | | | | | | |
| International search report p | | | | | | | | | |
| the search fee is paid | ., | | \$ 400.00 | | | | | | |
| All situations not provided fo | or above | | \$ 500 00 | | | | | | |
| EXAMINATION FEE (37 CF | | | | \$200.00 | | | | | |
| | | | | V 200.00 | | | | | |
| International preliminary exa | | | | | | | | | |
| the USPTO as IPEA or ISA | and favorable as to | novelty, inventive step | o, and | | | | | | |
| industrial applicability for all national phase | | | | | - | | | | |
| | | | | | | | | | |
| All situations not provided for | or above | , | \$ 200.00 | | | | | | |
| Surcharge of \$130.00 for fur | rnishing the search f | ee, the examination fe | e or the oath or | \$ | | | | | |
| declaration after the date of | commencement of t | ne national phase (37 | CFR 1.492(n)). | | <u> </u> | | | | |
| APPLICATION SIZE FEE | 0 ÷ 50 | = †0 | x 250 = | \$ | - | | | | |
| Total pages 84 - 100 = | | _ 10 | X 200 - | Ψ | | | | | |
| tround up to next integer | | | 5.475 | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ 450.00 | · · · · · · · · · · · · · · · · · · · | | | | |
| TOTAL CLAIMS | 69 - 20 | = 49 = 5 | x 50.00 = x 200.00 = | \$2,450.00 \$1,000.00 | | | | | |
| MULTIPLE DEPENDENT C | 8 - 3 | <u> </u> | + 360.00 = | \$ 1,000.00 | | | | | |
| WOLTPLE DEPENDENT C | | \$4,350.00 | | | | | | | |
| ☐ Applicant claims small € | | TOTAL OF ABOVE (7 CFR 1.27. The fees | | \$ | | | | | |
| reduced by ½. | | | - | | | | | | |
| | | | SUBTOTAL = | \$4,350.00 | | | | | |
| Processing fee of \$130.00 fo | | | nan 30 months from | \$ | | | | | |
| the earliest claimed priority | date (37 CFR 1.492(| · · · · · · · · · · · · · · · · · · · | NATIONAL FEE = | \$4,350.00 | | | | | |
| Fee for recording the enclos | ed assignment (37 (| · | | \$ | | | | | |
| accompanied by an appropr | | | | | | | | | |
| | | TOTAL FE | ES ENCLOSED = | \$4,350.00 | | | | | |
| | | | | Amount to be | | | | | |
| | | | | refunded: | \$ | | | | |
| | charged: | \$ | | | | | | | |
| | | \$4,350.00 to cover the | | | plicate copy of this | | | | |
| sheet is enclose | b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed | | | | | | | | |
| c. 🛛 The Commission | c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to | | | | | | | | |
| Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information and authorization on PTO-2038. | | | | | | | | | |
| 1 AA | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) | | | | | | | | | |
| | _ | the application to po | ending status/ | | | | | | |
| SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC | | | | | | | | | |
| OLIFF & BERRIDGE, PLC Customer Number: 25944 NAME: Mario A. Costantino | | | | | | | | | |
| REGISTRATION NUMBER: 23,565 | | | | | | | | | |
| | | | | | | | | | |
| Date <u>August 2, 2006</u> | m P. Berridg / € NI NIIMBED : 30 0 | 24 | | | | | | | |
| | | | REGISTRATIC | ON NUMBER: 30,0 | ८ ~ | | | | |
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